

Research Time Criteria of the Amsterdam School of Economics Research Institute

May 2021

Introduction

The criteria for research time allocation of the Amsterdam School of Economics Research Institute (ASE-RI) are aligned with the Research Fellowship criteria of the Tinbergen Institute (TI), as formulated in Appendix I of the TI Fellowship Charter, see https://www.tinbergen.nl/media/research/ti_fellowship_charter_2016_update_january_2019.pdf.

These emphasize quality rather than quantity of publications, by considering the Article Influence Scores (AIS) of the best five publications in the five most recent calendar years.

ASE staff members meeting these criteria qualify for up to 0.5 fte research time, or 50 per cent of the appointment if that is smaller than 1.0 fte. This research time will be allocated only if the staff member has an appointment with a formal research task, and is member of one of the ASE-RI research programmes, see <http://ase.uva.nl/research/research-programmes/research-programmes-ase.html>. In the research time criteria outlined below, “researchers” are staff members who satisfy these two criteria (formal research task and member of a research programme).

Below research time criteria apply per 1 January 2022.

Research Time Criteria

1. For the following categories of researchers, research time is fixed regardless of their publication output:
 - PhD students: 80% of their appointment
 - Postdocs (usually externally funded): 80% of their appointment
 - Tenure track assistant professors: 60% for the first three years of their appointment
 - Researchers with a (partially) externally funded position: 80% of the externally funded appointment.
2. Researchers who do not fall in any of the categories mentioned under 1., and are TI Research Fellow, qualify for research time equal to 50% of their appointment for the entire academic year in which their current TI Research Fellowship ends (consequently, for the year in which a TI Research Fellowship starts, the research time equal to 50% of their appointment will apply in the following academic year). Prolongation of TI Research Fellowships will be based on the TI Fellowship Charter.
3. All other researchers qualify for research equal to 50% of their appointment if they satisfy the following adapted version of the TI Fellowship criteria:
 - a) Publication points (defined below) of at most five publications in a five-year period* should add up to 5 or more. For research time in the academic year y -($y+1$), the five-year period over which publications are taken into consideration is ($y-5$) through ($y-1$). If the researcher has at least 400 citations according to the SSCI, then the publication points should add up to 2.5 or more. Researchers with a part-time appointment of less than 0,5 fte need to earn 2,5 publication points or more.
 - b) Publication points are defined by the journal score S , multiplied by a factor which equals 1.25 for a solo-authored publication, and the maximum of $(1-(N-1)/10)$ and 0 for a publication with N co-authors (i.e., with $N+1$ authors in total).

- c) The journal score is defined by a four-year average of the AIS (taken over $y-5$ through $y-2$). If the AIS is not available in part of the four-year period, then the average is taken of the available scores in this period.
 - d) The AIS of three leading journals in Actuarial Science (*Insurance: Mathematics and Economics*, *ASTIN Bulletin*, and *Scandinavian Actuarial Journal*) is set at 1.5. This is to compensate for the fact that Actuarial Science is a relatively small sub-discipline, which is not sufficiently corrected in the construction of the AIS.
 - e) The majority of the qualifying publications should be in "Economics" or "Business, Finance" journals in the categorisation of the ISI Web of Knowledge, or in a number of selected Operations Research or Marketing journals (see Appendix II of the TI Fellowship Charter).
 - f) Notes or articles of less than 6 pages are not taken into consideration, except for articles in *Nature* and *Science*.
 - g) The score of a book is 1, and the score of a contribution (of at least 15 pages) to a book is 0.5; both provided that the book is published by a publishing house on a selected list (see Appendix II of the TI Fellowship Charter).
4. Researchers with less than 5 publication points and who do not qualify for a TI research fellowship, qualify for research time as follows:
 - 4-4.9 points = 0.4 fte or 40%
 - 3-3.9 points = 0.3 fte or 30%
 - 2-2.9 points = 0.2 fte or 20%
 - 0-1.9 points = 0.1 fte or 10%
 5. Annually, the ASE-RI calculates the publication points based on 3. for all researchers, including those whose research time is guaranteed by 1. or 2. For those researchers, this information can be used to assess whether they will satisfy the TI Fellowship criteria in the future (e.g. after their tenure track period).

*Due to Covid-19, this period is temporarily extended to six years.