

Research Time Criteria of the Amsterdam School of Economics Research Institute

August 2014

Introduction

As of September 1, 2014, the criteria for research time allocation of the Amsterdam School of Economics Research Institute (ASE-RI) have been aligned with the Research Fellowship criteria of the Tinbergen Institute (TI), as formulated in Appendix I of the TI Fellowship Charter, see <http://www.tinbergen.nl/wp-content/uploads/2012/10/Fellowship-Requirements-and-Charter-2012-2016.pdf>. These emphasise quality rather than quantity of publications, by considering the Article Influence Scores (AIS) of the best five publications in the five most recent calendar years.

ASE staff members meeting these criteria qualify for 0.5 fte research time, or 50 per cent of the appointment if that is smaller than 1.0 fte. This research time will be allocated only if the staff member has an appointment with a formal research task, and is member of one of the ASE-RI research programmes, see <http://aseri.uva.nl/research-programmes>. In the research time criteria outlined below, “researchers” are staff members who satisfy these two criteria (formal research task and member of a research programme).

Research Time Criteria

1. For the following categories of researchers, research time is fixed regardless of their publication output:
 - PhD students: 80% of their appointment
 - Postdocs (usually externally funded): 80% of their appointment
 - Tenure track assistant professors: 50% of their appointment
 - Researchers with a (partially) externally funded position: 80% of the externally funded appointment.
 - Some researchers have a guaranteed research time (50% of their appointment) for the first three years of their appointment. Such agreements will be gradually replaced by the newly established tenure track system.
2. Researchers who do not fall in any of the categories mentioned under 1., and are TI Research Fellow, qualify for research time equal to 50% of their appointment. Current TI Research Fellowships apply until December 31, 2016, and so the guaranteed research time applies until then. Prolongation of TI Research Fellowships will be based on the TI Fellowship Charter.
3. All other researchers qualify for research time equal to 50% of their appointment if they satisfy the following adapted version of the TI Fellowship criteria
 - a) Publication points (defined below) of at most five publications in a five-year period should add up to 5 or more. For research time in the academic year y -($y+1$), the five-year period over which publications are taken into consideration is ($y-5$) through ($y-1$). If the researcher has at least 400 citations according to the SSCI, then the publication points should add up to 2.5 or more. Researchers with a part-time appointment need to earn the corresponding percentage of publication points.

- b) Publication points are defined by the journal score S , multiplied by a factor which equals 1.25 for a solo-authored publication, and the maximum of $(1-(N-1)/10)$ and 0 for a publication with N co-authors (i.e., with $N+1$ authors in total).
- c) The journal score is defined by a four-year average of the AIS (taken over $y-5$ through $y-2$). If the AIS is not available in part of the four-year period, then the average is taken of the available scores in this period.
- d) The AIS of three leading journals in Actuarial Science (*Insurance: Mathematics and Economics*, *ASTIN Bulletin*, and *Scandinavian Actuarial Journal*) is set at 1.5. This is to compensate for the fact that Actuarial Science is a relatively small sub-discipline, which is not sufficiently corrected in the construction of the AIS.
- e) The majority of the qualifying publications should be in "Economics" or "Business, Finance" journals in the categorisation of the ISI Web of Knowledge, or in a number of selected Operations Research or Marketing journals (see Appendix II of the TI Fellowship Charter).
- f) Notes or articles of less than 6 pages are not taken into consideration, except for articles in *Nature* and *Science*.
- g) The score of a book is 1, and the score of a contribution (of at least 15 pages) to a book is 0.5; both provided that the book is published by a publishing house on a selected list (see Appendix II of the TI Fellowship Charter).

Researchers with less than 5 publication points may qualify for 25% research time if their publication points add up to 2.5. This is meant as a transition arrangement: within a few years such researchers should either qualify for the maximum research time, or move to a position with no funded research time.

4. Annually, the ASE-RI calculates the publication points based on 3. for all researchers, including those whose research time is guaranteed by 1. or 2. For those researchers, this information can be used to assess whether they will satisfy the TI Fellowship criteria in the future (e.g. after their tenure track period).
5. For researchers who would have qualified for research time according to the former ASE-RI rules but not according to the new rules, the following transition arrangement is used:
 - Research time in 2014-2015 can be based for 100% on publication points earned under the ASE-RI publication norms for 2013-2014 and before.
 - Research time in 2015-2016 can be based for 50% on publication points earned under the ASE-RI publication norms for 2013-2014 and before, and for the remaining 50% on the new norms outlined above.